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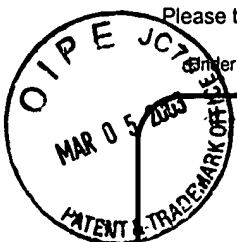
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09803,667		
	Filing Date	March 12, 2001	
	First Named Inventor	David S. MILLER	
	Group Art Unit	2163	
	Examiner Name	NOT YET ASSIGNED	
Total Number of Pages in This Submission		Attorney Docket Number	31921-169499

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
 - ☐ Fee Attached
- ☐ Amendment / Response
 - ☐ After Final
 - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
 - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
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- ☐ CD, Number of CD(s)

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
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- ☐ Proprietary Information
- ☐ Status Letter
- ☐ Other Enclosure(s) (please identify below):

Remarks

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Signature	 MICHAEL A. SARTORI, P.H.D., REG. NO. 41, 289	
Date	March 5, 2003	

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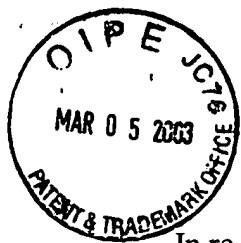
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

David S. MILLER

Application No.: 09/803,667

Confirmation No.: 9714

Filed: March 12, 2001

For: FULLY-AUTOMATED SYSTEM
FOR TAX REPORTING,
PAYMENT AND REFUND AND
SYSTEM FOR ACCESSING
TAX INFORMATION

Art Unit: 2163

Examiner: Not Yet Assigned

Attorney Docket No.: 31921-169499

Customer No.:



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Information Disclosure Statement Under 37 C.F.R. § 1.97(b)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This is an Information Disclosure Statement submitted under 37 C.F.R. § 1.97 within the time specified under 37 C.F.R. § 1.97(b). The U.S. Patent and Trademark Office is notified of the documents which are listed on the attached Form PTO-1449. One copy of each of the listed documents is submitted herewith.

In view of the above, no further translation or statement of relevance is required, and as all requirements of 37 C.F.R. § 1.97 and all official guidelines pertaining to Information

Disclosure Statements have been complied with, and it is therefore respectfully requested that the Examiner consider the documents and make them of record.

Respectfully submitted,

Date: March 5, 2003



Michael A. Sartori, Ph.D.

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**INFORMATION DISCLOSURE
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Sheet

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Application Number	09/803,667
Filing Date	March 12, 2001
First Named Inventor	David S. MILLER
Group Art Unit	2163
Examiner Name	Not yet assigned
Attorney Docket Number	31921-169499

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GROUP 3600**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	A1	PAM THOMPSON, "Quicken Deluxe", October 23, 1997, pp. 1-4, www.macobserver.com/reviews/quicken98.shtml	
	A2	TurboTax "What are the limitations of Quicken Taxlink?", May 14, 2001, pp. 1-2, www.intuit.com/support/turbotax/faqs/ty99/win/1169.html	
	A3	SERVICE & SUPPORT, "Using TaxLink With ProSeries Products-Technical Tip", May 14, 2001, pp. 1-2, www.proseries.com/service_support/1999/faqs/docs/10116.shtml	
	A4	Quicken, "How do I import QIF files into Quicken that I downloaded from my Financial Institution", May 14, 2001, pp. 1-2, www.intuit.com/support/quicken/faqs/win3/5176.html	
	A5	Quicken, "Exporting Quicken data", May 14, 2001, pp. 1-2, www.intuit.com/support/quicken/faqs/docs/w_export.html	
	A6	Quicken, "Exporting and Importing Quicken Data", 2000, pp. 1-2, www.intuit.com/support/quicken/faqs/docs/w_export-import.html	
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	A9	CRANE (Crane Federal Credit Union's), "Common Cents", April 2001, pp. 1-2, www.cranecu.org	
	A10	Information Concepts, Inc., "Fixed Price Software Development", May 17, 2001, p. 1, www.infoconcepts.com/v2/home.html	
	A11	"QIF Converter For Online Transactions", May 17, 2001, p. 1, www.etfcu.org/files/Readme.txt	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 5

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Application Number	09/803,667
Filing Date	March 12, 2001
First Named Inventor	David S. MILLER
Group Art Unit	2163
Examiner Name	Not yet assigned
Attorney Docket Number	31921-169499

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume(s), issue number(s), publisher, city and/or country where published.	
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	A22	INTUIT, "Intuit Partners With American Century Investments To Offer Online Investment Tracking Through Quicken", MOUNTAIN VIEW, Calif., June 22, 1998, pp. 1-2, www.intuit.com/corporate/press_release/062398.html	

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Sheet 3 of 5

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Application Number	09/803,667
Filing Date	March 12, 2001
First Named Inventor	David S. MILLER
Group Art Unit	2163
Examiner Name	Not yet assigned
Attorney Docket Number	31921-1

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	A23	MICHAEL L. SCHNEIDER, "Banking on a New Internet Standard", February 24, 1998, pp. 1-2, www.zdnet.com/products/content/pcmg/1704/277635.html	
	A24	"OFX Specification Version 1.5.1", November 23, 1998, pp. 1-7, www.webiz.com/151html/	
	A25	INTUIT, "Intuit, Top Technology Companies Team To Launch Intuit Osp Program, Mountain View, Calif., November 16, 1999, pp. 1-6, www.intuit.com/corporate/press_releases/111699.html	
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	A27	INTUIT, "Award-Winning TurboTax For Business Offers Small Businesses Comprehensive Business-Specific Advice To File Their Taxes," SAN DIEGO, January 13, 1998, pp. 1-5, www.intuit.com/corporate/press_releases/011398b.html	
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	A31	"Yahoo! Search Results for +.QIF", May 14, 2001, pp. 1-3, google.yahoo.com/bin/query?p=%2b.QIF&hc=0&hs=0	
	A32	CDC FEDERAL CREDIT UNION, "Instructions for Exporting Account History to Quicken Using Web Connect", May 14, 2001, pp. 1-2, www.cdcfedcu.com/info/IBShistoryexport.html	
	A33	OPEN FINANCIAL EXCHANGE, "Information Concepts Offers Implementation and Migration Toolkit", HERDON, VA., November 8, 1999, pp. 1-2, www.ofx.net/ofx/pressget.asp?id=41	

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Sheet 4 of 5

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Application Number	09/803,667
Filing Date	March 12, 2001
First Named Inventor	David S. MILLER
Group Art Unit	2163
Examiner Name	Not yet assigned
Attorney Docket Number	317

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	A34	OPEN FINANCIAL EXCHANGE, "BITS, Publishers of Open Financial Exchange and GOLD Team Announce Timetable for the Publication of Converged Specification", WASHINGTON, April 7, 1998, pp. 1-5, www.ofx.net/ofx/pressget.asp?id=17	
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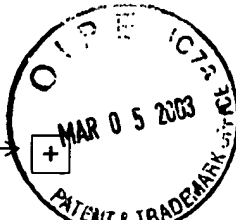
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Sheet 5 of 5

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Application Number	09/803,667
Filing Date	March 12, 2001
First Named Inventor	David S. MILLER
Group Art Unit	2163
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Attorney Docket Number	31921-169499

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	A45	THE BUSINESS JOURNAL, "New tax-prep programs appeal to small business users", January 31, 1997, pp. 1-2, portland.bcentral.com/portland/stories/1997/02/03/focus4.html	
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